September/2023

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Case Study 1 - Linear Regression Defined Approach: Prediction of PV values

Deriving a Point of Departure for Risk Assessment

Andreas Natsch Head in vitro molecular screening, Fragrance & Beauty

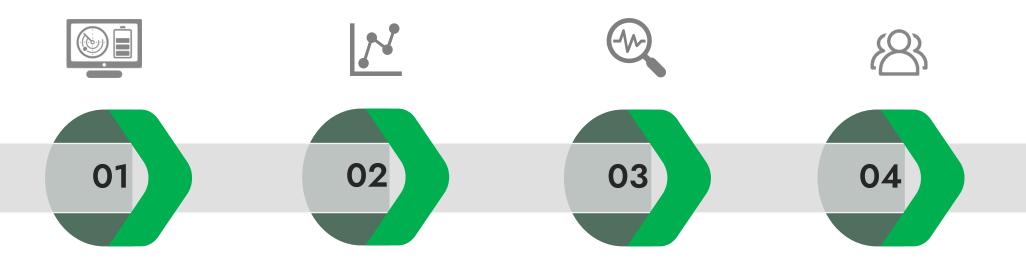


Human by nature

Agenda

- Risk assessment without animal testing: Overall approach
- Input data: KeratinoSens, h-CLAT and kDPRA
- The Databases
- Regression models
- Spreadsheet Application in practice
- Predictivity
- Key under- and overpredictions
- Robustness and redundancy
- Predictivity of the DA when applied to fragrance chemicals in the PV-list
- Adding human data (ALTEX publication 2023)
- Uncertainty factors

Overall Approach



In vitro data

Using KeratinoSens and/or h-CLAT and/or Kinetic DPRA (kDPRA)

Regression Model

Perform multiple regression vs. LLNA data (or human data)

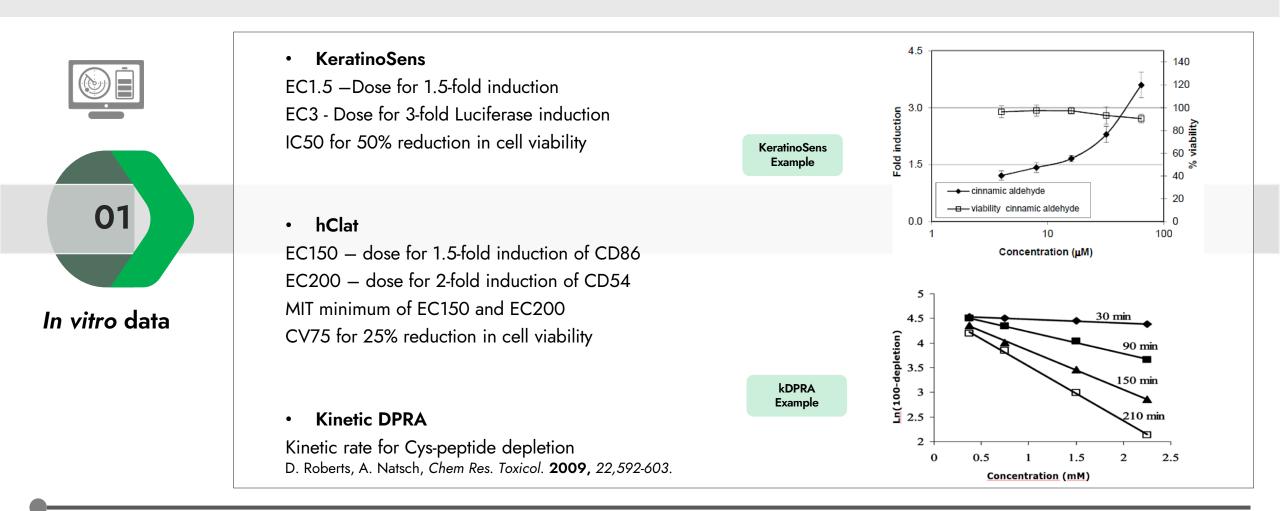
LLNA Prediction

Predict the 'most likely' LLNA EC₃ as the Point of Departure (PoD)

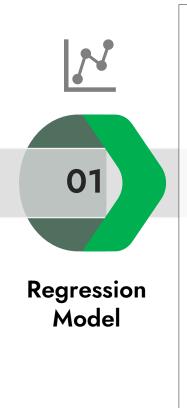
Uncertainties Factors

Adjust PoD based on uncertainty assessment and use it for Quantitative Risk Assessment (QRA2)

Input data: KeratinoSens, h-CLAT and kDPRA



The Databases



• The Database and data preparations

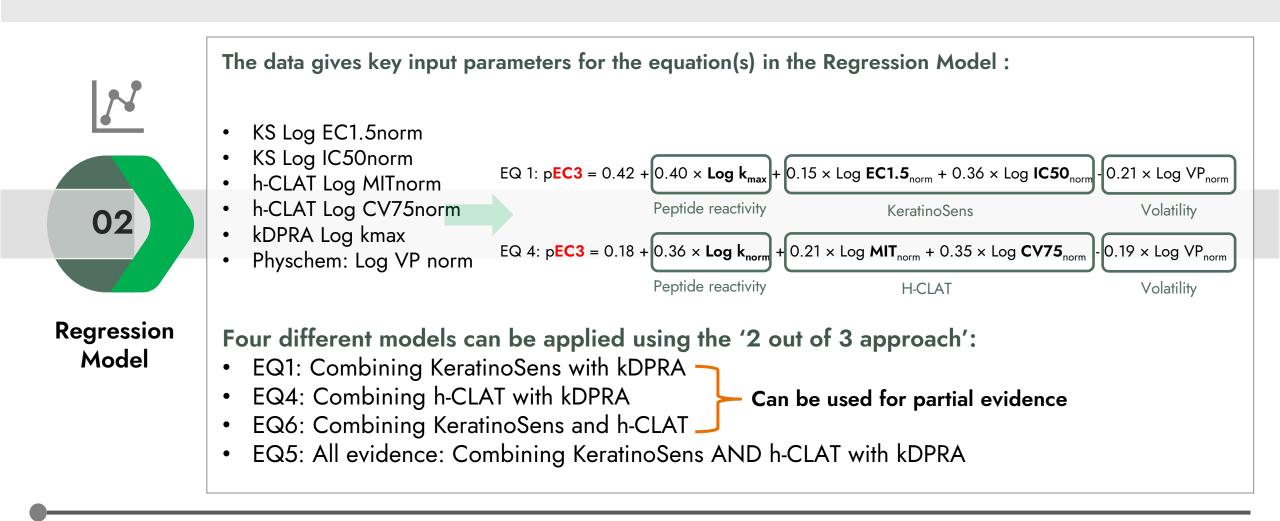
Dataset with LLNA, KeratinoSens and kDPRA: **n = 203**

Dataset with LLNA, KeratinoSens, h-CLAT and kDPRA: **n = 188**

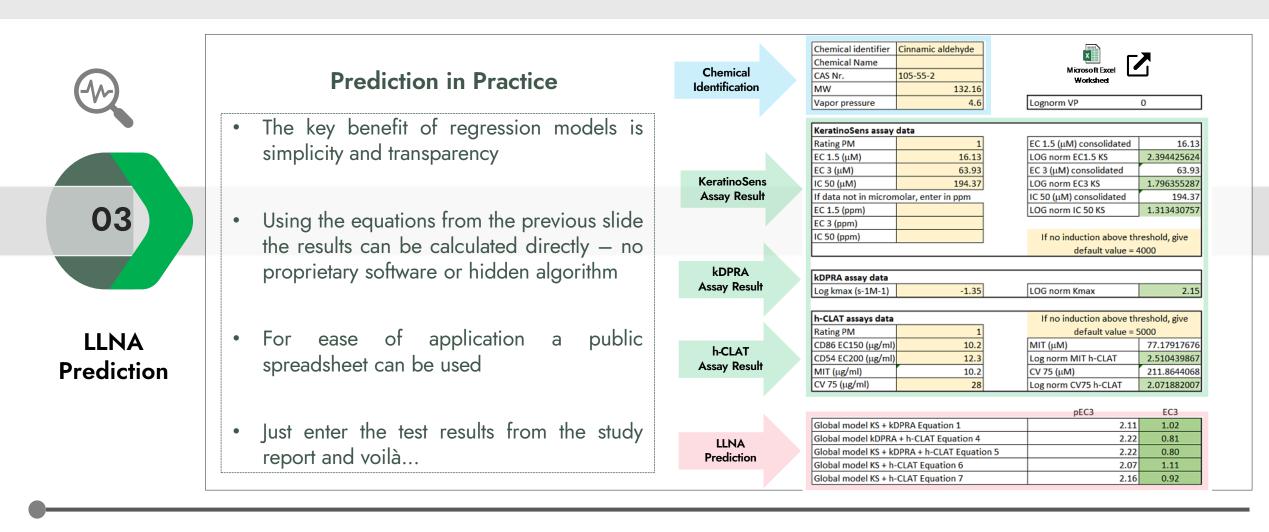
Dataset with OECD curated LLNA data, KeratinoSens, h-CLAT and kDPRA: n = 149

- ✓ In the skin sensitization field we have a unique size of the dataset with in vitro and in vivo data
- ✓ All data are log transformed and normalized

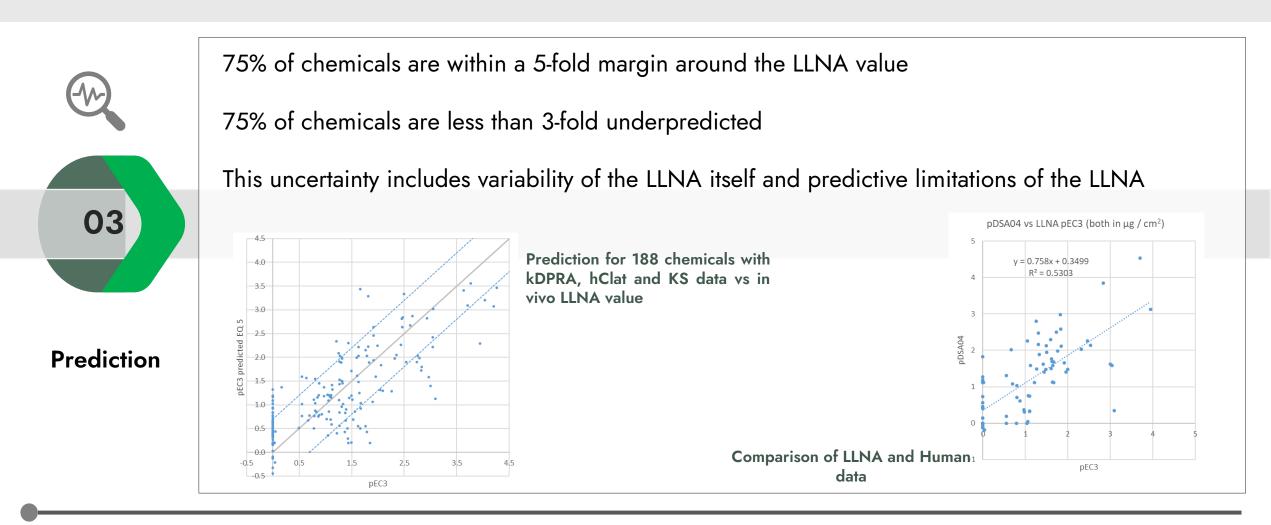
Regression models



Spreadsheet - Application in practice



Predictivity



Givaudan Approach: Database integrating i*n vitro and in vivo* data & Regression Model for PoD calculation

Similar predictivity of the models based on the following:

- kDPRA and KeratinoSens
- kDPRA and h-CLAT
- kDPRA, KeratinoSens and h-CLAT

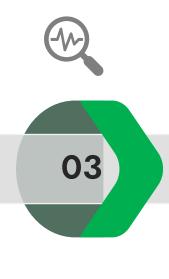
Model	Input parameters	Fold- misprediction ¹ (Geomean)	Fold- misprediction (Median)	Chemicals > 5 – fold underpre- dicted ² n, (%)	Chemicals > 10 - fold under- predicted n, (%)	Chemicals > 5 - fold over- predicted ² n, (%)	Chemicals > 10 – fold over- predicted n, (%)
EQ1	kDPRA, KS	3.3	2.5	33 (18%)	20 (11%)	16 (9%)	7 (4%)
EQ4	kDPRA, h-CLAT	3.2	2.4	30 (16%)	17 (9%)	16 (9%)	7 (4%)
EQ5	kDPRA, KS, h-CLAT	3.1	2.3	35 (19%)	17 (9%)	18 (10%)	6 (3%)
EQ6	KS, h-CLAT	3.5	2.6	33 (18%)	19 (10%)	19 (11%)	8 (4%)
EQ7	KS, h-CLAT	3.4	2.7	31 (16%)	19 (10%)	18 (10%)	6 (3%)

Prediction

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¹ The ratio between the higher and the lower values of the measured and predicted EC3 value. Predicted EC3 > 100% were set to 100%.
 ² Under-predicted chemicals: those for which the measured LLNA EC3 is < than the predicted EC3; over-predicted chemicals: Those with measured LLNA EC3 > than the predicted value.

Predictivity for case studies



Prediction

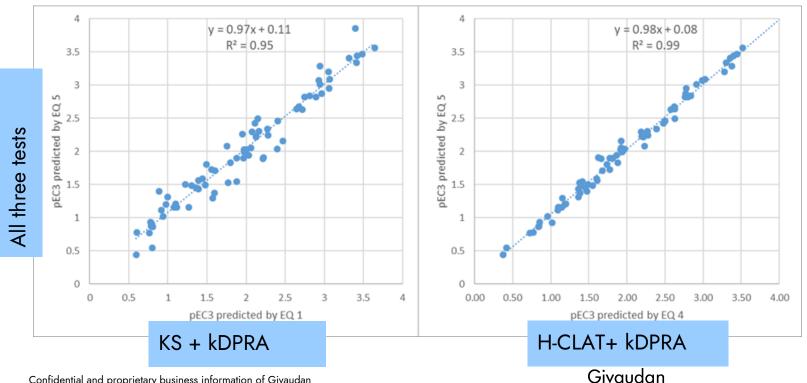
- Chemicals with at least 5 LLNA studies in OECD DB as case studies
- For these the certainty of the LLNA value is high
- Overall accurate prediction of these chemicals with strong *in vivo* evidence. Mostly within variability of the LLNA studies
- Similar predictivity with different models
- Flexibility which model to apply

	LLNA EC3 ¹⁾	LLNA studies (n)	LLNA EC3 range	EQ1	EQ4	EQ5
Aniline	NC	14	13.25 - (> 100)	60	52	57
Penicillin G	31.3	8	11.2 - 46.5	>100	>100	>100
Hydroxycitronellal	21.1	8	18.8 - 33	18.7	11.3	10.9
Geraniol	16.1	6	5.6 - 57	18.3	14.3	14.2
Eugenol	11.6	16	3.8 - 16.6	19.9	6.8	10.4
alpha-hexyl cinnamic aldehyde	10.8	29	1.2 - 33.8	5.9	(25)	17.4
Lilial	8.6	5	3 - 18.6	20.5	9.3	12.5
Citral	5.8	16	1.5 - 26.8	9.4	5.0	4.8
Formaldehyde	3.8	15	0.35 - 14.5	1.5	0.8	1.0
3- dimethylaminopropylamine	3.5	7	1.8 - (>10)	40	37	32
Isoeugenol	1.3	31	0.5 - 6.4	1.8	(4.6)	4.2
Cinnamic aldehyde	1	12	0.5 - 3.1	1.0	0.8	0.8
Hydroquinone	0.19	20	0.07 - 1.67	0.9	0.4	0.4
PPD	0.11	10	0.06 - 0.2	3.5	1.9	1.7
DNCB	0.054	20	0.012 - 0.096	0.18	0.19	0.17
Kathon CG	0.008	10	0.005 - 0.063	0.05	0.05	0.05
Oxazolone	0.002	7	0.001 - 0.003	1.5	0.5	0.7

Predicted EC3

Robustness and redundancy

- The data on predictivity and case studies show that
 - Similar predictions for individual chemicals with EQ1, EQ4 and EQ5
 - The overall fold-misprediction is quite similar by different models
- Further illustrated by individual predictions for chemicals positive in three tests



- This indicates data-redundancy •
- Partial evidence is sufficient •
- Having a third positive tests often • does not change the assessment
- Will additional OECD-tests provide • non-redundant information?

Predictivity of the DA when applied to fragrance chemicals in the PV-list: (A) Predictivity vs. LLNA

- Our key concern is potency prediction of fragrance molecules
- As the model is trained on LLNA, we first looked at the LLNA predictions for the RPLC list
- For most molecules the LLNA is predicted within a margin of two-fold (green)
- 3 molecules are overpredicted (stronger sensitization potential (Hexenal, safranal, Coumarin), light green
- 4 molecules are 2 5-fold underpredicted (orange)
 - Allyl phenoxyacetate is strongly underpredicted, but in vivo value is based on a single LLNA study
 - Benzyl salicylate is underpredicted, but salicylates known to be overpredicted in LLNA

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Name	EC3	EC3 PREDICTED EQ5
trans-2-Hexenal	1013	203
Methyl 2-nonynoate	<1250	541
Methyl 2-octynoate	125	482
Phenylacetaldehyde	750	586
Safranal	1875	479
Isoeugenol	325	1041
Citral	1450	1198
Allyl phenoxyacetate	775	17938
3-Propylidenephthalide	925	4061
Cinnamic aldehyde	250	199
Furaneol	450	no invitro data
Perillaldehyde	2175	1379
Benzaldehyde	>6250	10151
Lyral (HICC)	4275	3632
Hydroxycitronellal	5275	2728
Cinnamic alcohol	5775	4466
Eugenol	2900	2593
Benzyl salicylate	725	5001
Geraniol	4025	3555
Coumarin	neg	9838
Carvone	3250	2620
Hexyl cinnamic aldehyde	2700	4345
Benzyl Alcohol	neg	10880
Benzyl benzoate	4250	10813
Isomethylionone (α-)	5450	3357
Methyl salicylate	5000*	16111
Vanillin	neg	16726

Predictivity of the DA when applied to fragrance chemicals in the PV-list: (B) Predictivity vs. Potency value

- For 12 of 37 the PV is predicted within a margin of two-fold (green)
- 6 molecules are overpredicted (stronger sensitization potential predicted; cinnamic aldehyde, eugenol, BS, geraniol, Carvone, HCA), light green
 - These are mostly clinical relevant allergens, hence this overprediction is probably correctly conservative
- 7 molecules are 2 5-fold underpredicted (orange)
 - Signicant sensitization potency is predicted for these molecules, underprediction is mostly 3 4 fold
 - Hence the overall ranking is still correct

O				
	Name	Potency	EC3 PREDICTE	
	Name	Value		
	trans-2-Hexenal	39.3	203	
-	Methyl 2-nonynoate	109	541	
-	Methyl 2-octynoate	125	482	
of two-	Phenylacetaldehyde	750	586	
	Safranal	106	479	
	Isoeugenol	325	1041	
ation	Citral	1450	1198	
	Allyl phenoxyacetate	775	17938	
, BS,	3-Propylidenephthalide	925	4061	
	Cinnamic aldehyde	885	199	
	Furaneol	1181	invitro da	ita nc
nis	Perillaldehyde	2175	1379	
	Benzaldehyde	4094	10151	
	Lyral (HICC)	4275	3632	
	Hydroxycitronellal	5275	2728	
nge)	Cinnamic alcohol	5775	4466	
.9-1	Eugenol	7357	2593	
9	Benzyl salicylate	17715	5001	
	Geraniol	9197	3555	
	Coumarin	11792	9838	
	Carvone	17573	2620	
	Hexyl cinnamic aldehyde	23620	4345	
	Benzyl Alcohol	>25000	10880	(Pred.) non-sens
-	Benzyl benzoate	>25000	10813	(Pred.) non-sens
-	Isomethylionone (α-)	>25000	3357	(Pred.) non-sens
Givaudan	Methyl salicylate	NS	16111	∲⊉red.) non-sens
	Vanillin	NS	16726	(Pred.) non-sens

Fragrance chemicals: Some difference between evaluation vs. LLNA EC3 and vs. PV

- In some cases, the predicted value is in between the LLNA EC3 and the PV (Safranal and trans-2hexenal)
- In some cases, the EC3 is better predicted and both the prediction and the LLNA EC3 are more conservative

Name	Potency	EC3	EC3 PREDICTED	
Name	Value		EQ5	
trans-2-Hexenal	39.3	1013	203	Predicted EC3 between PV and LLNA
Safranal	106	1875	479	Predicted EC3 between PV and LLNA
Cinnamic aldehyde	885	250	199	Predicted EC3 closer to LLNA, conservative
Benzaldehyde	4094	>6250	10151	
Geraniol	9197	4025	3555	Predicted EC3 closer to LLNA, conservative
Coumarin	11792	neg	9838	Predicted EC3 closer to PV
Carvone	17573	3250	2620	Predicted EC3 closer to LLNA, conservative

Predictivity of the DA when applied to non-fragrance chemicals in the PV-list: (A) Predictivity vs. LLNA EC3

- For some of the extreme sensitizers, the LLNA EC3 value is clearly underpredicted
- Still, except for Glutaraldehyde, these chemicals are rated as strong sensitizers
 - EC3 < 500 μg/cm², < 2%, i.e. GHS1A
 - This is in line with our published observation that the model not completely covers to potency scale of the extreme sensitizers

Name	LLNA EC3	EC3 EQ5
5-chloro-2-methyl-4-isothiazolin-one (CMIT)	2.3	11.8
2,4-dinitrochlorobenzene (DNCB)	10	41
1,4-Phenylenediamine (PPD)	40	429
Glutaraldehyde (act. 50%)	25	995
1,4-Dihydroquinone	25	104
Benzyl bromide	50	64

For CMIT Equation 1 is used due to missing h-CLAT data

Predictivity of the DA when applied to non-fragrance chemicals in the PV-list: (B) Predictivity vs. potency values

- For some strong sensitizers, the potency values derived from human DSA04 are clearly lower than the LLNA EC3, e.g. PPD* and DNCB — for these the underprediction by the model are even more pronounced than vs. LLNA
 - As for the LLNA evaluation This is in line with our observation that the model not completely covers to potency scale of the extreme sensitizers

Name	PV	EC3 EQ5
5-chloro-2-methyl-4-isothiazolin-one (CMIT)	2.25	11.8
2,4-dinitrochlorobenzene (DNCB)	3.4	41
1,4-Phenylenediamine (PPD)	3.9	429
Glutaraldehyde (act. 50%)	19.9	995
1,4-Dihydroquinone	47.5	104
Benzyl bromide	50	64

For CMIT Equation 1 is used due to missing h-CLAT data

- Less concern for fragrance materials which do not cover the extreme sensitizer scale
- * Note: For PPD the initial peptide reactivity is slow, high reactivity and lower PoD is observed if the chemicals is pre-incubated for oxidation to start

Ranking the PV list with all different models

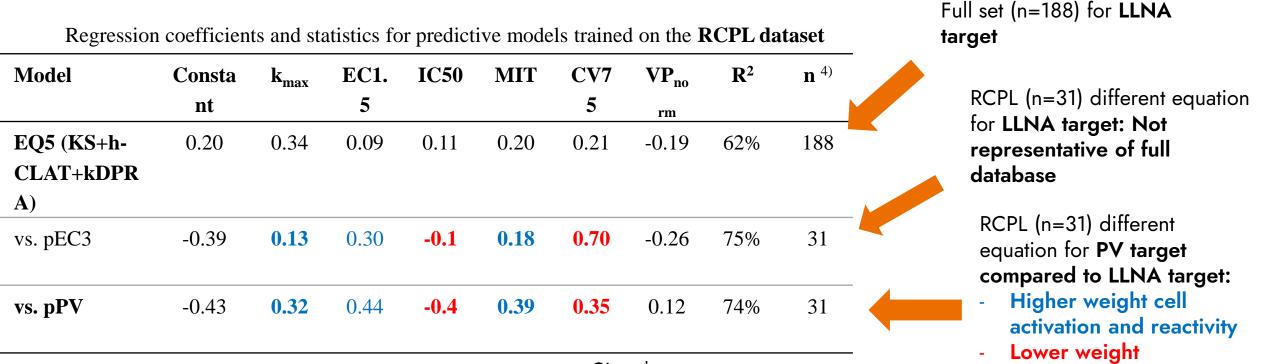
- The full PV list was **ranked with Spearman rank correlation** as one of the goals of the RCLP list was to check whether models can rank potency
- The LLNA and the LLNA-trained model can similarly rank the chemicals
 - rho = 0.816; p = 0.000 for the LLNA vs. The PV
 - rho = 0.823; p = 0.000 for our published (LLNA-based) model vs PV
 - We also made an alternative model based on human data (see below)
 - This model gives also a similar ranking
 - rho = 0.821; p = 0.000 for new human data trained model vs. PV

Conclusions on predicting the chemicals in the RCPL

- For fragrance chemicals in the RCPL, we have overall a good predictivity of potency
- The PoD from regression models is not less conservative than the LLNA for fragrance chemicals, and using the PoD instead of the LLNA EC3 would not decrease safety assessments
 - Allyl phenoxyacetate is a clear exception however for this chemical we just have a single LLNA study as all available *in vivo* evidence
- For the strong sensitizers, a significant sensitization potential is predicted, yet the models do not cover the full dynamic range for the very strong sensitizers
- Considerations of applicability domain are important thus for example PPD needs oxidation to occur (hours) to become highly reactive, or Glutaraldehyde prediction should also take into account ist strong amine reactivity and possibility to cross-link proteins.

ALTEX 2023: Training models on the RCPL?

- Models are trained on LLNA data only what about training vs. Potency values (PV) in RCPL?
- The RCPL list is **too small for representative models** training vs. LLNA target in RCPL gives significantly different models
- Comparing model trained vs. LLNA target or PV in the RCPL shows a significant difference much lower weight for cytotoxicity



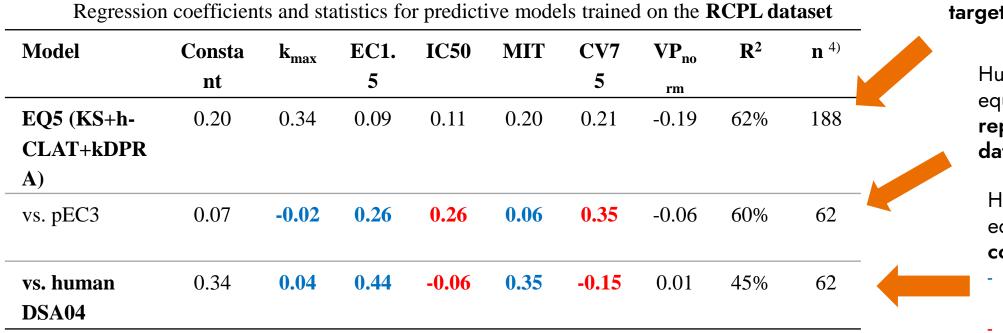
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cytotoxicity

ALTEX 2023: Training models on human data?

- Models are trained on LLNA data only what about training vs. Human DSA04 values?
- The list with human data also not representative training vs. LLNA target gives significantly different models
- Comparing model trained vs. LLNA target or vs. Human DSA04 shows a significant difference **much lower weight for cytotoxicity is confirmed!**



Full set (n=188) for **LLNA** target

Human set (n=62) different equation for LLNA target: Not representative of full database

Human set (n=62) different equation for **DSA04 target** compared to LLNA target:

- Higher weight cell activation and reactivity
- Lower weight cytotoxicity

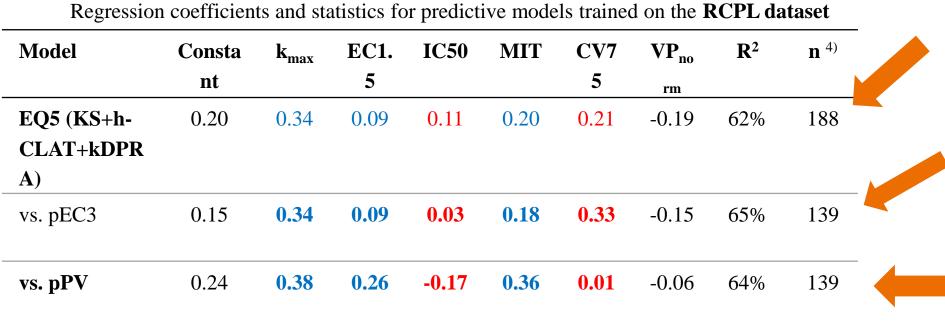
Adding human data (ALTEX 2023)

- RCPL List appears too small to train stable models
- Chemical set with human data is biased
- However human data can be integrated along with LLNA according the RCPL workflows
 Similar list was created for n = 139 chemicals
 - This list is heavily influence by LLNA data... but contains as much human evidence as possible
- Regression analysis
 - •A) vs. LLNA data (same chemicals, n = 139)
- •B) vs. Potency values (PV) integrating human evidence where available

Irizar, A., et al., Reference Chemical Potency List (RCPL): A new tool for evaluating the accuracy of skin sensitisation potency measurements by New Approach Methodologies (NAMs). Regul Toxicol Pharmacol, 2022. **134**: p. 105244.

ALTEX 2023: Training models on the extended RCPL

- Models trained on LLNA: Almost identical on set of 139 chemicals this set is representative of the full database
- Comparing model trained vs. LLNA target or vs. Potency Values shows a significant difference much lower weight for cytotoxicity is again confirmed!
- Indicates importance of cytotoxicity/irritancy for LLNA response?



Full set (n=188) for LLNA target

Extended PV list (n=139) different equation for LLNA target: Almost identical model!

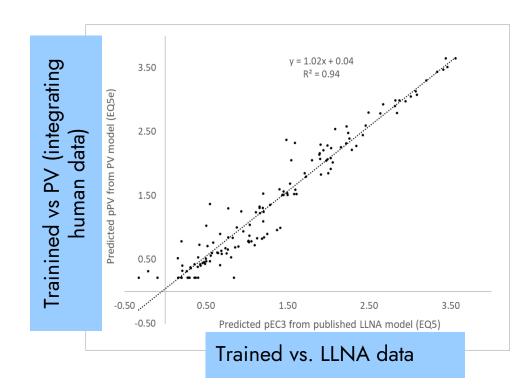
RCPL (n=139) different equation for **PV target compared to LLNA target:**

- Higher weight cell activation and reactivity
- Lower weight cytotoxicity again confirmed

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Adding human data (ALTEX 2023)

- Two key learnings:
 - Adding human data indicates that **cytotoxicity has much less importance** for predicting potency
 - BUT: the resulting regression equations give very similar predictions
 - THUS: The regression approach only trained on LLNA/animal data is quite robust also in regards of which target data are used



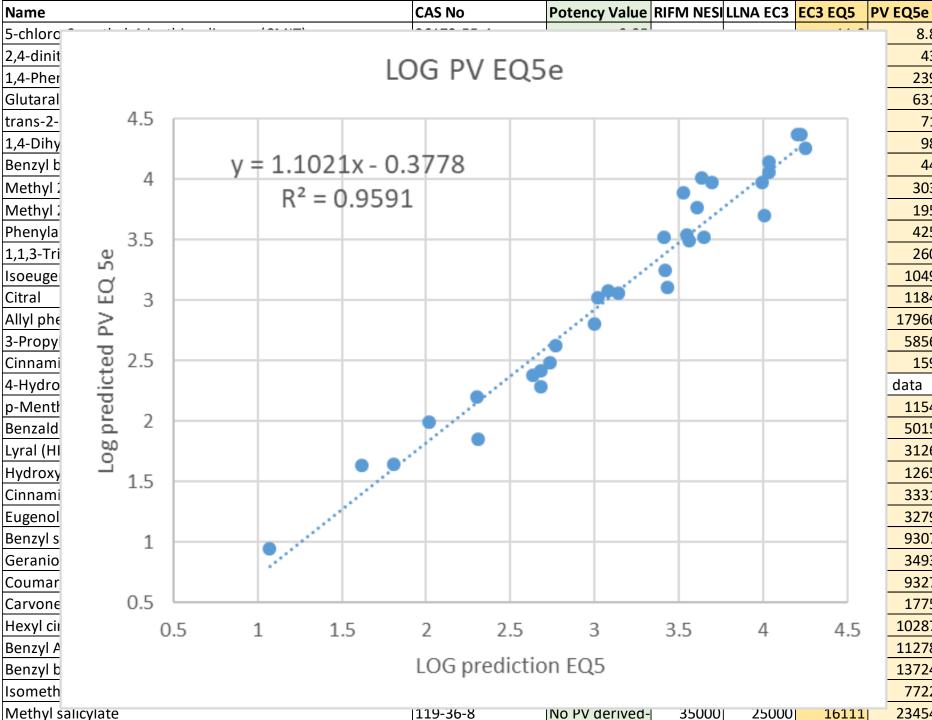
Predictions by LLNA model and PV model

Using the PV models to predict the RCPL

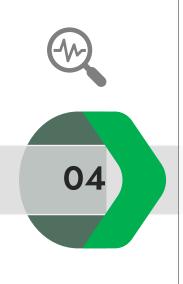
- I showed you all predictions for the RCPL list with the original LLNA-trained models, i.e. the calculations I made *before* looking at predicting PV values
- I do not want to run the risk that I 'fitted the model' to the RCPL as this is exacly what I warn
 people not to do...
- Still we can look at the model trained on the 139 PV values....

Using the PV models to predict the RCPL

- It doesn't matter!
- ... indicating our models are quite stable ...



Uncertainty factors



Uncertainties Factors

Uncertainty assessment

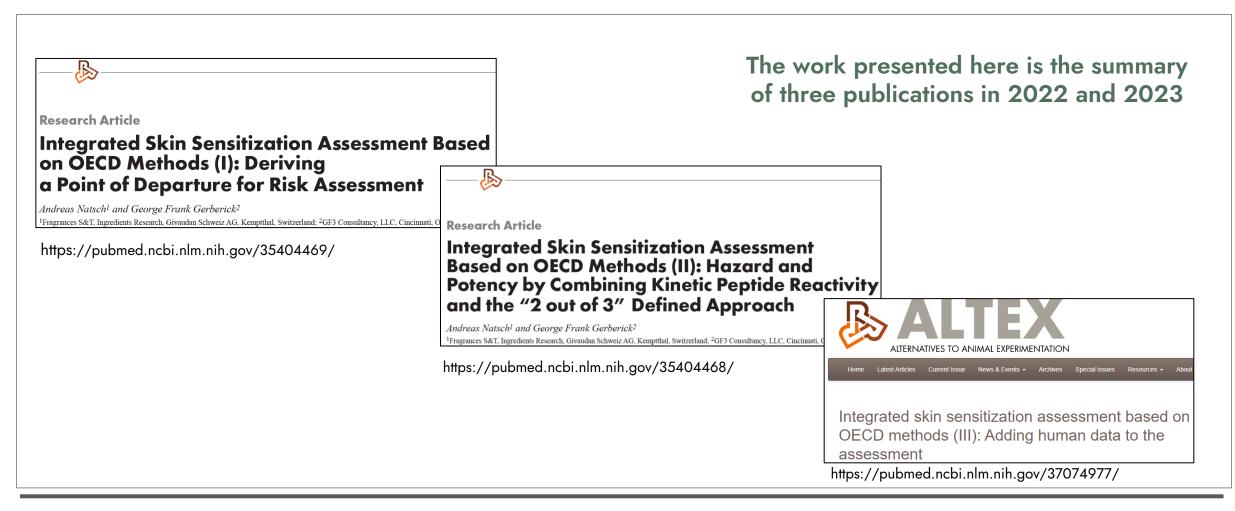
- In our initial approach for potency assessment with regression models we propose to use an assessment factor for in vitro to in vivo uncertainty of 2 in case we have a good predictivity for close analogues
- We generally use a factor of 3 as general factor accounting for the uncertainty of the models if we do not have close analogues (this corresponds to the 75% percentile, i.e. 75% of the chemicals are less than 3-fold underpredicted
 - We have to keep in mind that the uncertainty associated with a single LLNA values is normally not factored in and the value is used as such
 - all toxicological assessments never use a 95%-percentile assumption
- Uncertainty is also factored in by application of sensitization assessment factors (SAF) at subsequent steps of the quantitative risk assessment process (i.e., QRA2)

Conclusions regression models

- All the key event based test guidelines (except classical GARD in TG) deliver next to hazard identification dose-response data which contribute to potency prediction
- Regression models are a facile and transparent way to integrate these data to derive a Point-of-Departure for quantitative risk assessment (QRA2)
- Already with these three tests there is **data redundancy**, and two tests often give very similar predictions to three tests
 - This may indicate we are in a 'as good as it can get' situation for the prediction model
- The **simple spreadsheet** makes application straightforward
- This is an *in vitro*-only **Defined Approach** *in vitro* data directly leads to the PoD*
- '2 out of 3' DA (TG497) combined with kDPRA (TG442D) give
 - Hazard ID
 - GHS potency class
 - PoD from the same data! No additional testing!

^{*}DA does not yet include *in silico* evaluation, structural alert and read-across. **These additional lines of evidence can then be used to refine the assessment and assess uncertainty** (they are not 'used up' in the DA) **Givaudan**

Publications



Publications

This is following up on earlier research using a non-validated peptide reactivity assay. The 2018 paper goes into more detail on uncertainty analysis and application within an IATA.

		TOXICOLOGICAL SCIENCES, 143(2), 2015, 319-332			
OVFORD	SOT Society of Toxicology	doi: 10.1093/toxsci/kfu229 Advance Access Publication Date: October 22, 2014			
OXFORD	www.toxsci.oxfordjournals.org				
Des l'ations Chie Constitions Dates as Dates in the Mittee					
Predicting Skin Sensitizer Potency Based on In Vitro					

Data from KeratinoSens and Kinetic Peptide Binding:

Global Versus Domain-Based Assessment

 OXFORD
 Society of Toxicology

 www.toxsci.oxfordjournals.org
 Image: Society of Toxicology

 Deriving a No Expected Sensitization Induction Level

 for Fragrance Ingredients Without Animal Testing: An

 Integrated Approach Applied to Specific Case Studies

 Andreas Natsch,*¹ Roger Emter,* Tina Haupt,* and Graham Ellis[†]

TOXICOLOGICAL SCIENCES, 165(1), 2018, 170-185

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- Tina Haupt (kDPRA validation and database)

BASF

- Britta Wareing (kDPRA validation and database)
- Susanne Kolle (kDPRA validation and 2o3 validation)

OECD DASS expert group

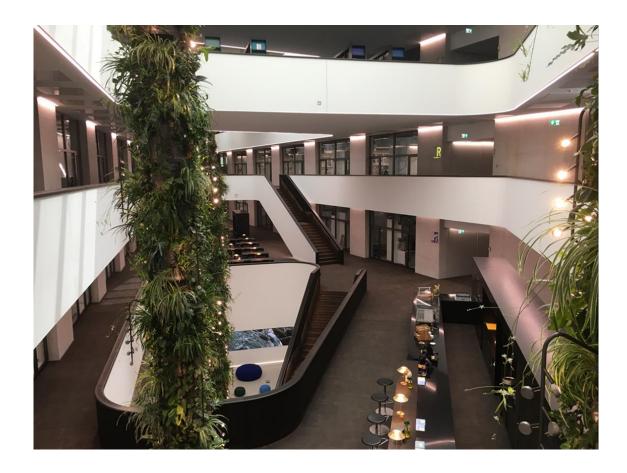
• Data curation and compilation:

The IMS team



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Backup slides with additional information

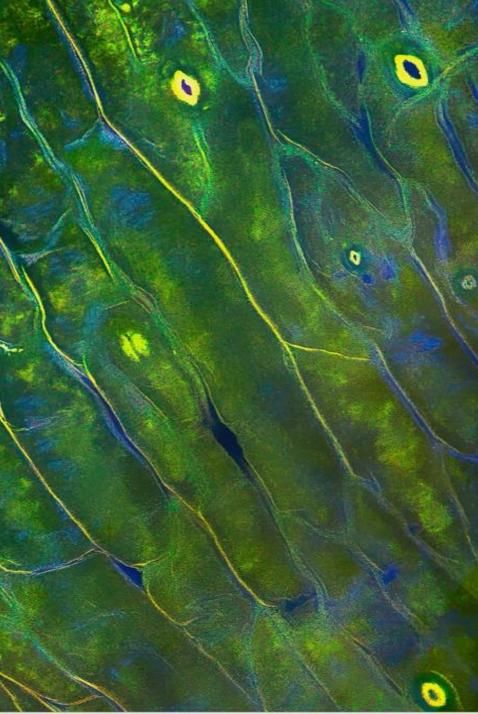


Do we always needs three tests?

Does the sequence of testing affect the result?

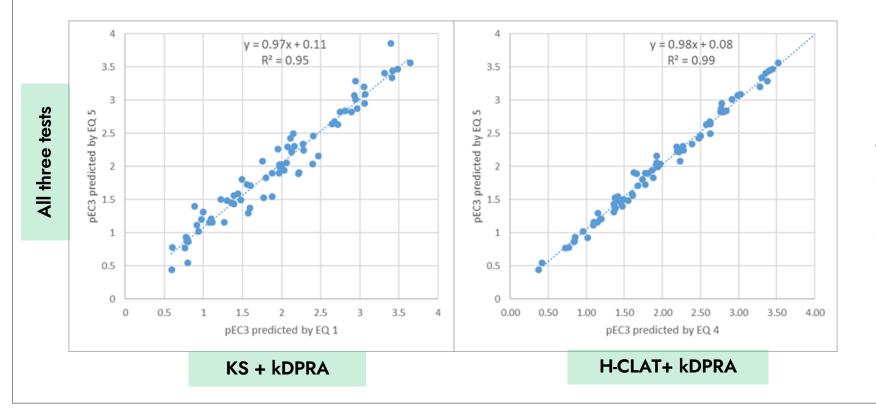
If we have all evidence, which PoD to select?





Model choice – Do we always need three tests?

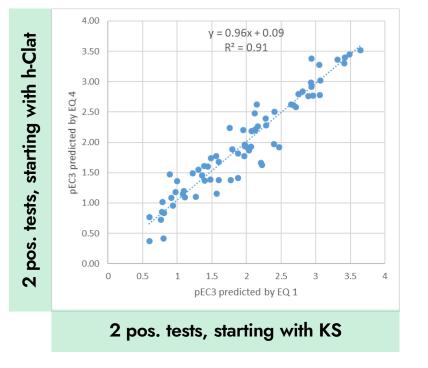
As shown in Part I: Partial evidence gives similar predictions as using complete evidence



This indicates that we can make an assessment with partial evidence, e.g. in a 2o3 assessment with only two tests conducted

Model choice – Does the sequence of testing affect the result?

- For '2 out of 3' hazard assessment, the sequence of testing does NOT affect the result
- For PoD with quantitative data: If we stop after two tests, because we have a positive outcome based on two tests does it matter with which test we started?
- Due to the data redundancy, the result overall is very similar, independent of which test we start with!

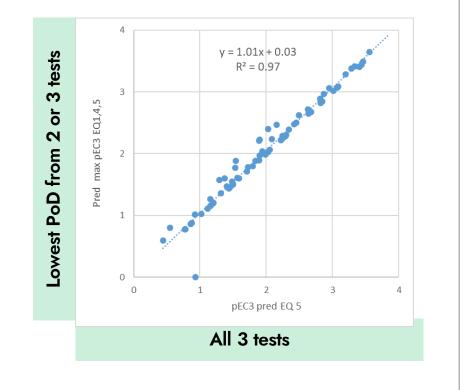


Model choice – If we have all evidence, which PoD to select?

If all three tests were done - which model should we use?

- CASE A: All three are positive
 - EQ5 integrating all evidence?
 - o OR
 - The model with the lowest PoD (Conservative approach)?

The difference is small, and integrating all positive evidence (EQ 5) appears most appropriate



Model choice – If we have all evidence, which PoD to select?

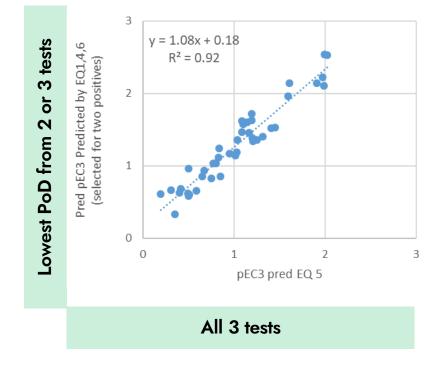
If all three tests were done – which model should we use?

• CASE B: Only two tests are positive

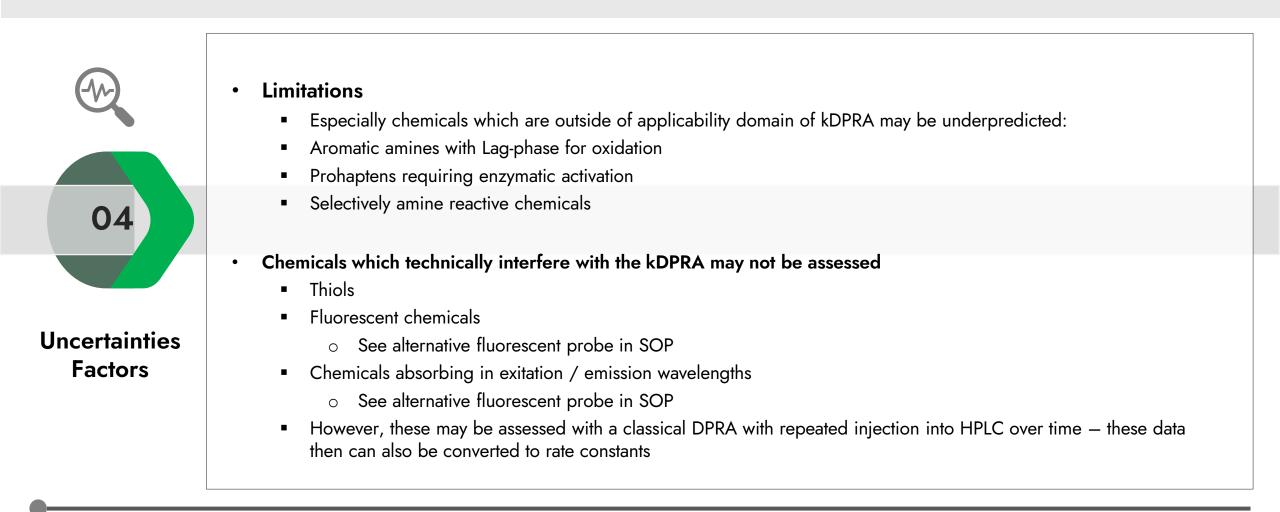
- EQ5 also integrating negative evidence?
- \circ OR
- The model for the two positive tests?

Positive Y intercept of 0.18 indicates that 1.5-fold lower PoD is predicted using evidence from the two positive tests only

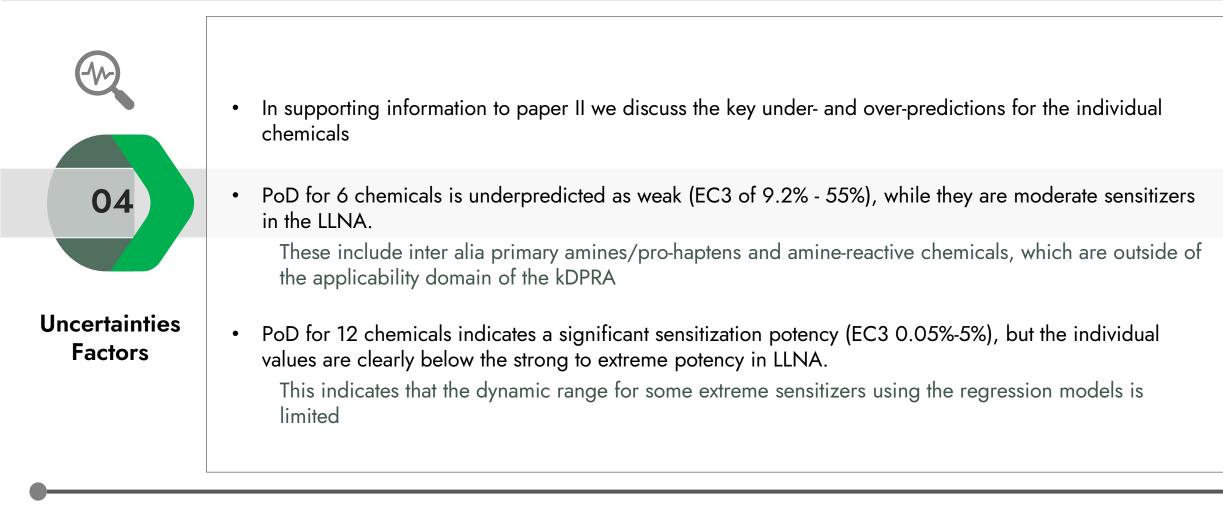
However, predictivity ignoring the negative evidence decreases and leads to some overprediction (conservative choice)



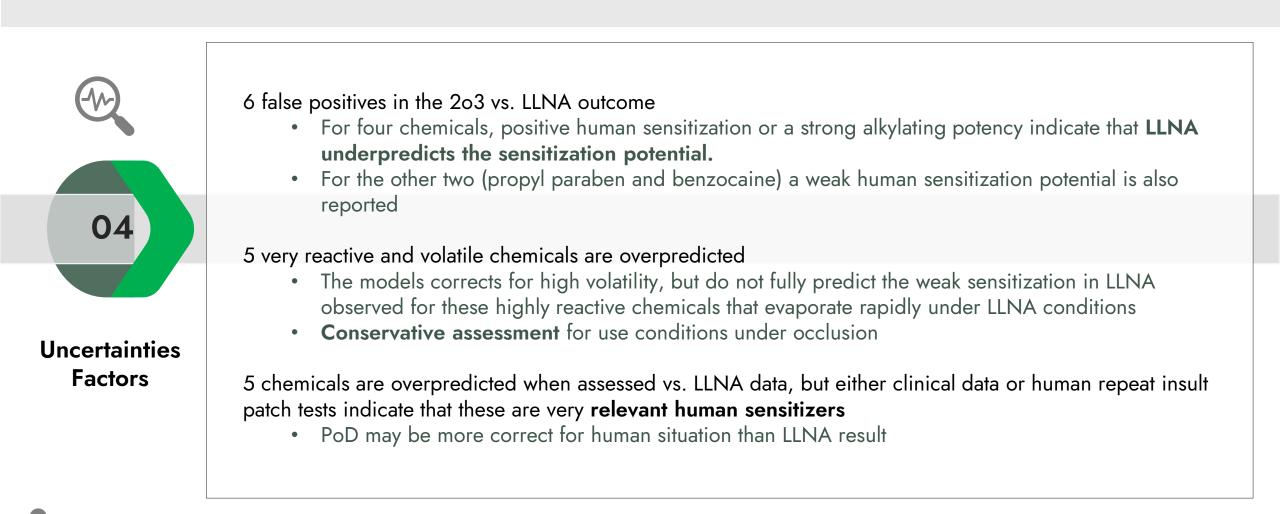
Limitations



PoD determinations: Under-predictions



PoD determinations: Over-predictions



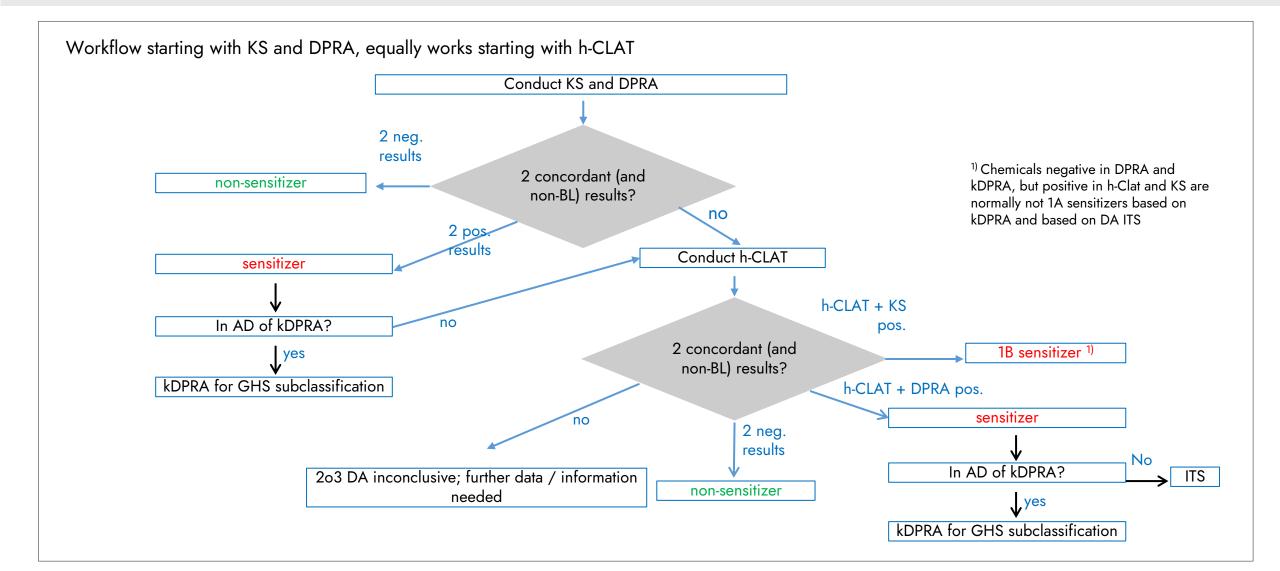
Combining '2 out of 3' with kDPRA: GHS subclassification and potency

- GHS classification: not the key subject of these presentation
- But: As kDPRA is combined here with the tests within '2 out of 3' it is noteworthy to highlight how all assessments can be combined
- '2 out of 3' is accepted to discriminate GHS 1A/1B from GHS 2 (non-sensitizers)
- kDPRA is accepted to discriminate GHS 1A from GHS 1B/2 (if in Defined Approach)

Thus with '2 out of 3' and kDPRA in combination, we have a fully validated approach to discriminate the three GHS classes! (no further validation needed)

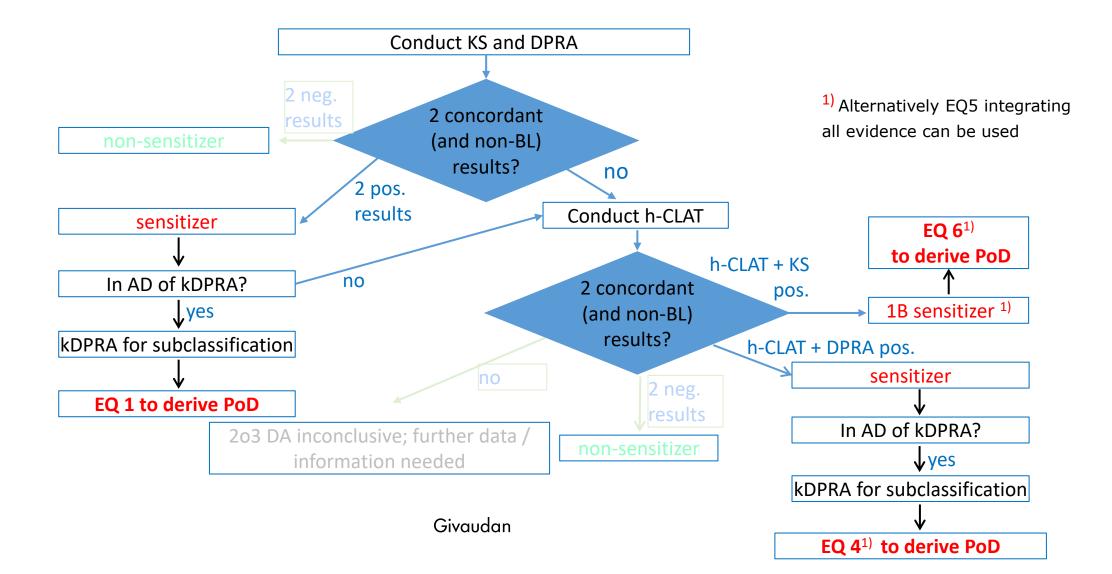
The same in vitro data are used for the PoD, no parallel testing needed

Combining '2 out of 3' with kDPRA: GHS subclassification



Combining '2 out of 3' with kDPRA: Addition of PoD models

• No additional data generated for PoD determination - same workflow - just add the quantitative data to the Spreadsheet...



Outlook: Adding other tests

- We have shown multiple illustrations of data redundancy
- Mechanistic, additional tests certainly may improve *local* models
 - E.G. We use a specific amine-reactivity test for aldehydes only
 - Test with S9 fractions in KeratinoSens for phenolic compounds
- But: Will additional tests improve the *global* models (as the ones shown here) for all chemicals?
- The fact that often KS and h-CLAT already give very similar information for potency raises a question mark... we may be in a 'as good as it gets' situation
- BUT: as soon as a dataset is available with CAS number and data on > 120 chemicals of the database with the 188 chemicals with all information, we can test data redundancy and improved prediction very easily....

